

# Typically, it's neither carbon starvation nor hydraulic failure: a modeling perspective on drought



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# The case of tree mortality



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## SPECIAL FEATURE

## FOREST RESILIENCE, TIPPING POINTS AND GLOBAL CHANGE PROCESSES

# Is drought-induced forest dieback globally increasing?

Jörg Steinkamp<sup>1,2\*</sup> and Thomas Hickler<sup>1,2,3</sup>

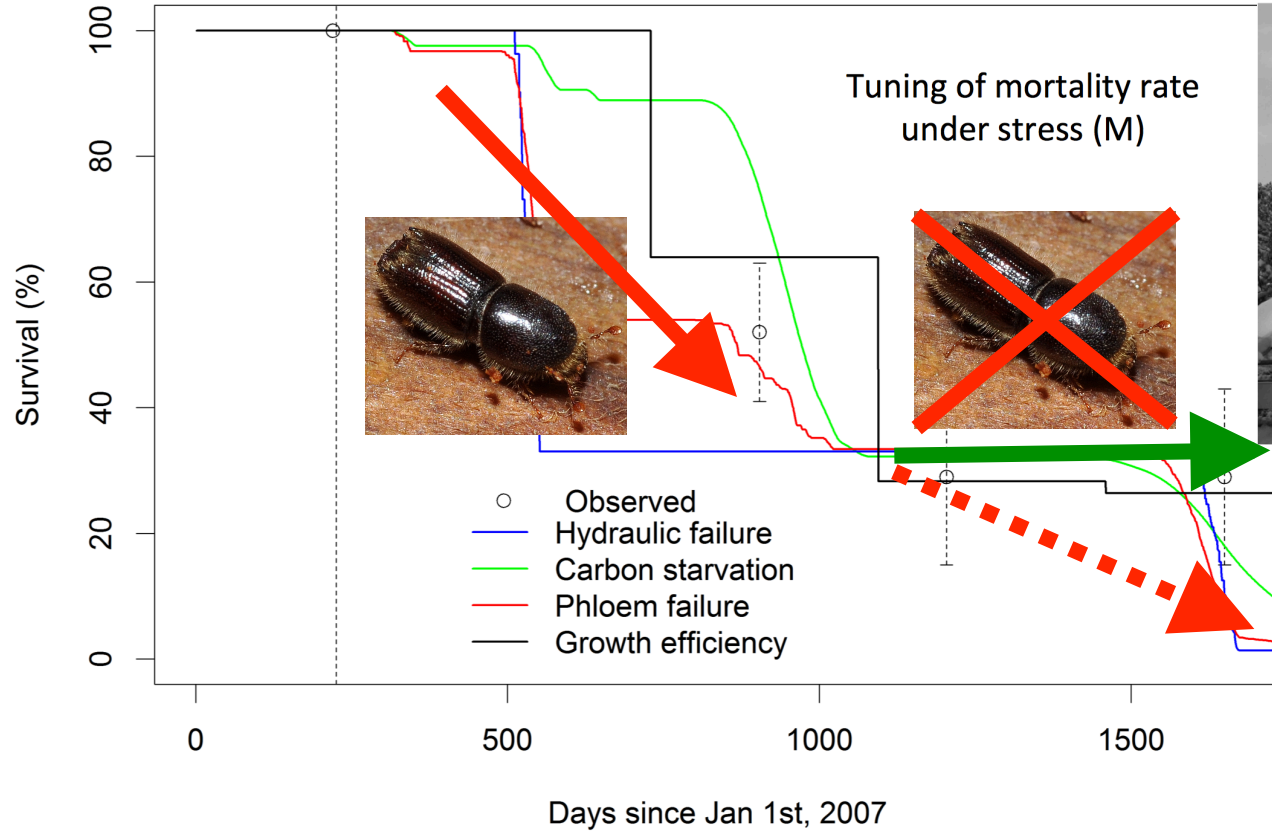
Jemez Mts. (New Mexico),  
October 2002



Jemez Mts., May 2004



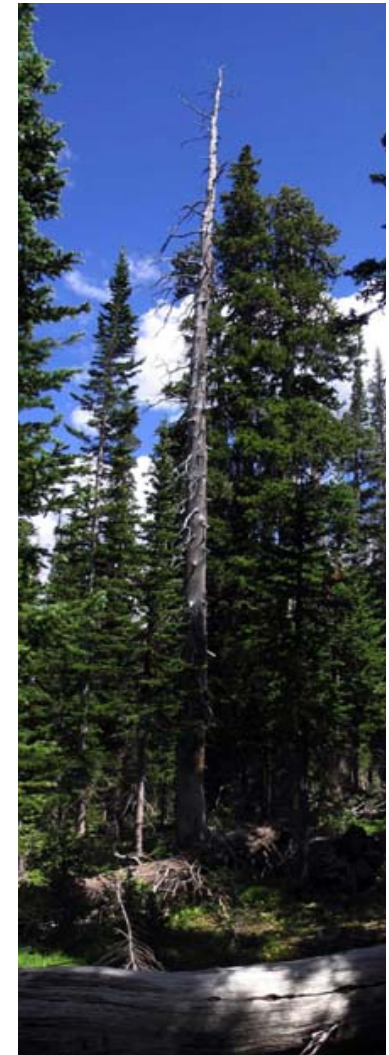
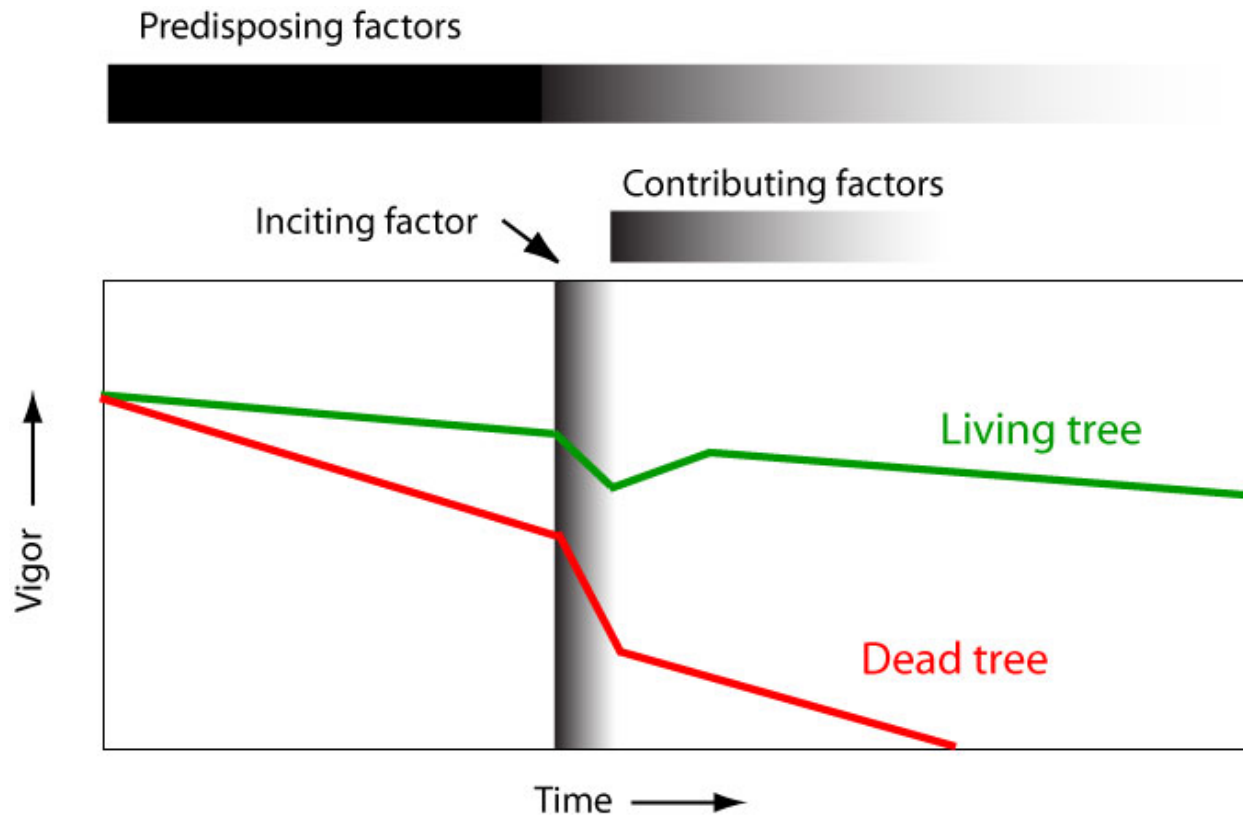
# Pinyon pine drought experiment, Sevilleta



**Plot 10: drought, 45% precipitation exclusion**



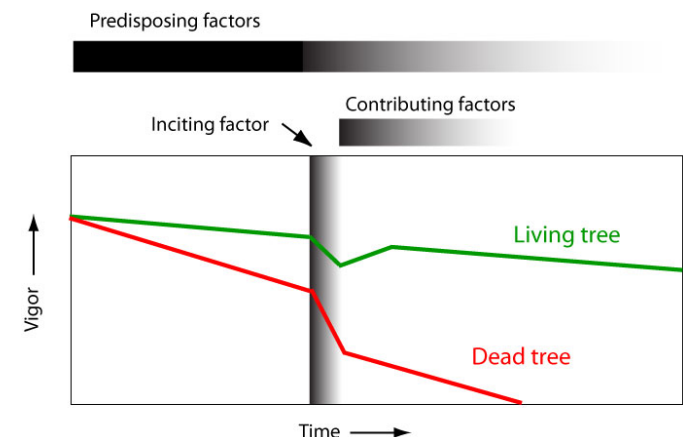
# Decline Disease “Theory”





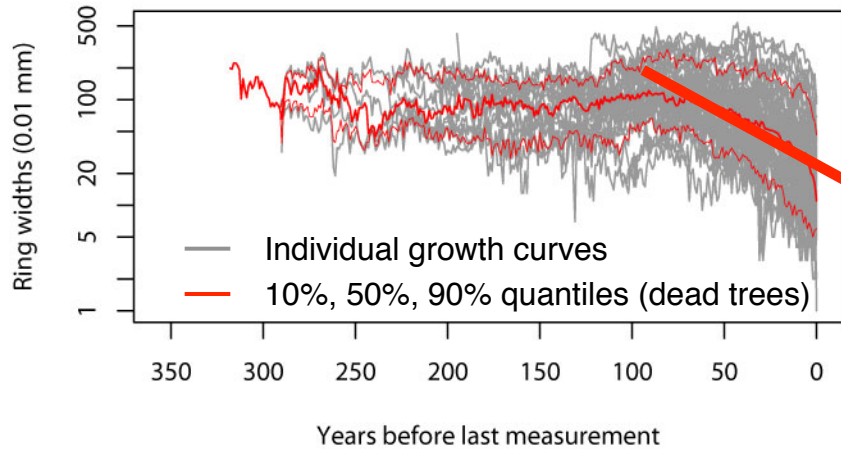
# “Predicting” mortality

- Heat & drought in a developing country:  
Mortality =  $f(\text{lack of water OR heart attacks OR disease})$  ?
- Research is not unbiased: we do research on what we can measure (better – best ?):  
*“This reflects the human fascination with electronic machinery rather than spades, but lacks any scientific rationale”* Körner (1998), *Oecologia*
- Plant physiology may *not* provide robust estimators of tree mortality
- More integrative (aggregated) predictors are more likely to be successful

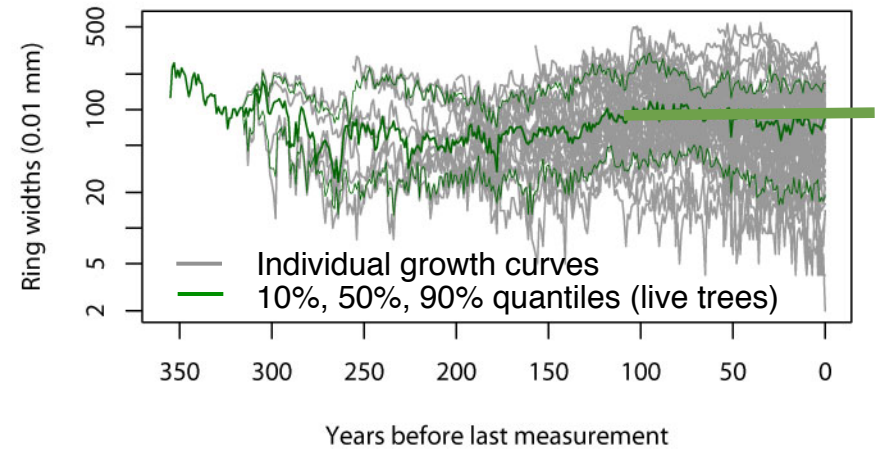


# Tree rings are telling a story...

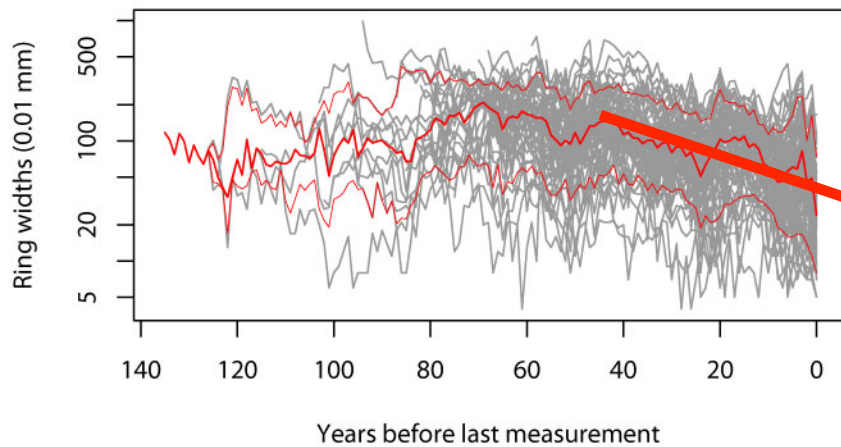
Dead Norway spruce (Davos), n=59



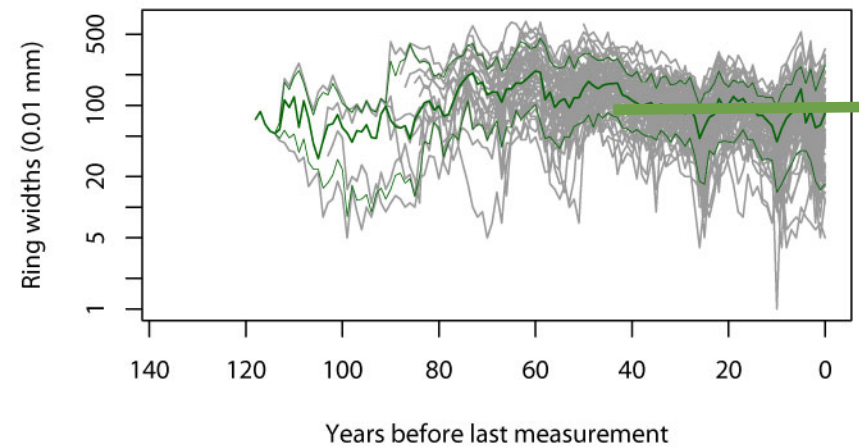
Live Norway spruce (Davos), n=60



Dead Scots pine (Valais), n=70



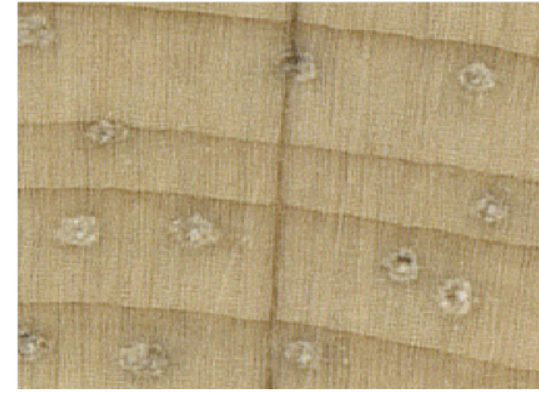
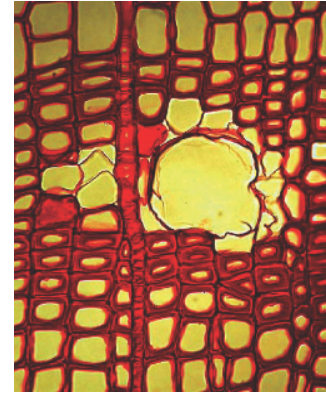
Live Scots pine (Valais), n=70





# Pinyon pine mortality in 1950s and 2000s

- Statistical models to predict fate of individual trees



- *Growth* variables:
  - Average ring width (mm)
  - Mean sensitivity
- *Wood anatomy* variables:
  - No. of resin ducts
  - Mean duct size
  - Duct density
  - Relative duct area

# Pinyon pine mortality in 1950s and 2000s

Fixed + (1   site)		Coefficeints		Model Diagnostics			Correct Classification Rates				
				Δ AIC	ROC	Evidence Weight	Dead Trees	Live Trees	All Trees	External Dead Trees	
Top model overall	<u>Growth and Resin Duct</u>										
	RW15 + Sens15 + Size3	-3.54	-7.06	410	0	0.94	9.68E+18	83.5%	81.7%	82.6%	70.0%
	RW20 + Sens15 + Size3	-3.6	-7.01	405	0.5	0.93	7.50E+18	83.3%	81.7%	82.5%	70.0%
	<u>Resin Duct Only</u>										
	RelArea3 + Size3	40.9	347		5.5	0.94	6.11E+17	85.6%	80.7%	83.2%	65.0%
	RelArea5 + Size3	56	353		5.8	0.94	5.34E+17	84.8%	83.2%	84.0%	65.0%
Reference model	<u>Best 1-variable Resin Duct</u>										
	Size3	366			17.7	0.92	1.40E+15	81.8%	74.9%	78.4%	56.5%
	<u>Growth Only</u>										
	log(RW3) + Sens20	0.79	-4.44		87.4	0.78	1.00E+00	70.2%	71.5%	70.8%	25.0%
	log(RW3) + Sens15	0.8	-3.39		92.1	0.76	9.76E-02	70.3%	69.1%	69.7%	30.0%
	<u>Best 1-variable Growth</u>										
	Sens20	-5.84			92.9	0.74	6.43E-02	66.7%	63.8%	65.2%	35.0%
	log(rw3)	1.23			99	0.73	3.02E-03	66.5%	69.8%	68.2%	40.0%

- Growth-only models do a decent job classifying dead vs. surviving trees, **but** fail in external validation
- Growth + duct models correctly classify over 80% of trees, **and** pass the external validation test



# To conclude this very brief presentation...



- Tree mortality is a complex process that defies a physiological explanation based on one or a few factors
- Typically, trees die neither of carbon starvation nor of hydraulic failure (alone)
- Yet, to better understand hydraulic failure and carbon starvation is a laudable goal in itself
- If we want to predict future tree mortality, integrative approaches based on tree-ring or forest inventory data are more promising than “mechanistic”, “physiological” models